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PATENT TRADEMARK OFFICE

Docket No.: 9373/1H812-US3

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Zhen -Gang WANG et al.

Serial No.:

10/016,668

Art Unit:

1642

Confirmation No.: 3843

Filed:

10/26/2001

Examiner:

To be assigned

For:

GENE RECOMBINATION AND HYBRID PROTEIN DEVELOPMENT

# INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In order to comply with the duty to disclose information under 37 C.F.R. § 1.56, Applicants submit herewith an Information Disclosure Statement Under 37 C.F.R. § 1.97 and § 1.98. In particular, Applicants submit herewith:

(1) Form PTO-1449 listing references 1-112 for the Examiner's consideration; and

(2) Copies of references 91-100, 102 and 104-112 cited in the accompanying Form PTO-1449.

References 10-11, 14, 25, 36-37, 57-59 and 67 are general references of several hundred pages each. These references were previously made of record in U.S. Appln. Ser. No. 09/863,765 which is the parent of this application. To avoid over burdening the Examiner, copies of those references are not submitted herewith and were not submitted in the parent application. However, Applicants will provide copies of those references at the Examiner's request. Copies of references 1-9, 12-13, 15-24, 26-35, 38-56, 60-66 and 68-90 were also made of record in the parent application. Copies of the references may be found in the parent application.

To avoid over burdening the Examiner, copies of references 101 and 103 are not submitted herewith because they are general reference texts.

However, Applicants will provide copies of those references at the Examiner's request.

This Information Disclosure Statement ("IDS") is being submitted in accordance with the requirement of 37 C.F.R. § 1.97(b). In particular, the IDS is submitted before the mailing of a first Office Action of the merits for this application. It is therefore believed that no fee is due for this submission. However, should the U.S. Patent and Trademark Office determine that any fee is required or that any refund is due for this submission, the Commissioner is hereby

authorized and requested to charge any required fee(s) and/or credit any refund(s) due to Deposit Account No. 04-0100.

In accordance with M.P.E.P. §§ 609 and 707.05(b), it is respectfully requested that each document cited in the accompanying Form PTO-1449 be given thorough consideration, and that all of the references be cited of record in the prosecution history of the present application by initialing the Form PTO-1449 next to each listed document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection or otherwise does not consider it to be prior art for any reason. This is requested so that each document becomes listed on the face of the patent issuing from this application. The citation of any document in this application is not to be construed as an admission by Applicants or their representatives that such document is necessarily relevant or prior art.

Early and favorable consideration of this Supplemental Information

Disclosure statement and the reference cited therein is earnestly solicited.

Respectfully submitted,

Dated: April 22, 2002

Samuel S. Woodley, Ph.D. Registration No. 43,287

Agent for Applicant(s)

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Serial No. 10/016,668



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# **U.S. PATENT DOCUMENTS**

*EXAMINER	DOCUMENT					
<u>INITIALS</u>	NUMBER	DATE	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	FILING DATE
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	2. 5,741,691	4/21/98	Arnold, et al.	435	197	1/23/96
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## **FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS	DOCUMENT NUMBER	<u>DATE</u>	COUNTRY	CLASS	SUBCLASS	TRANSLAT	
	5. WO 95/22625	8/24/95	U.S.	C12Q	1/68		
	6. WO 97/20078	8 6/5/97	U.S.	C12Q C12N CO7K	1/68 15/00 14/00		
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